



# Sector  
ATTY DOCKET NO. AWI90101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: :  
: :  
HAMBLEY, DAVID M. and :  
HAMBLEY, PHILIP :  
: :  
Serial No.: 09/303,306 :  
: :  
Filed: April 30, 1999 :  
: :  
For: FILTER UNDERDRAIN SYSTEM :  
FOR BACKWASH FLOW AND :  
METHOD FOR MEASURING SAME :

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SUBMISSION OF MISSING PARTS OF APPLICATION  
UNDER 37 C.F.R. 1.53

ATTENTION: BOX MISSING PARTS

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Dear Sir:

Responsive to the Notice To File Missing Parts Of  
Application dated April 30, 1999, we enclose the following items  
for filing on the above-referenced United States patent  
application:

1. Duly executed Declaration and Power of Attorney;
2. Statement of Status as Small Entity, executed by  
the inventor;
3. Notice to File Missing Parts form PTO-1533;
4. Check in the amount of U.S.\$832.00 covering the  
filing fee and surcharge as a small entity; and
5. Acknowledgement postcard.

Please charge any additional fees or credit any overpayment to deposit account no. 21-0885. A duplicate copy of this letter is enclosed.

Having now complied with all of the requirements of 37 C.F.R. 1.53, applicant respectfully requests that this application be placed on file for examination.

Respectfully submitted,

HAMBLEY, DAVID AND  
HAMBLEY, PHILIP

By: \_\_\_\_\_

John R. Uren  
Regn. No. 27,530

Date: July 26, 1999  
John Russell Uren, P. Eng.  
Suite 202 - 1590 Bellevue Avenue  
West Vancouver, British Columbia  
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(360) 945-3411 (Washington State)

I HEREBY CERTIFY THAT THIS DOCUMENT AND/OR FEE IS  
BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST  
CLASS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER OF PATENTS AND TRADEMARKS, UNITED STATES  
PATENT AND TRADEMARK OFFICE, WASHINGTON, D.C.  
20231. ON Jul 26/99

JOHN R. UREN  
REG. No. 27,530



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/303,306	04/30/99	HAMBLEY	D AWI9010

0242/0525

JOHN RUSSELL UREN  
SUITE 202 1590 BELLEVUE AVENUE  
WEST VANCOUVER BC V7V 1A7  
CANADA

NOT ASSIGNED

AIR MAIL ✓

1724

DATE MAILED:

05/25/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☐ non-small entity is \$ 1700

☐ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 700 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 342 for 19 total claims over 20.

\$ 468 for 6 independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration, in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center

Initial Patent Examination Division (703) 308-1202

08/03/1999 ATERAHM 0000046 210885 09303306  
01 FC:201 380.00 OP  
02 FC:205 65.00 OP  
03 FC:203 171.00 OP  
04 FC:202 216.00 OP  
18.00 CH